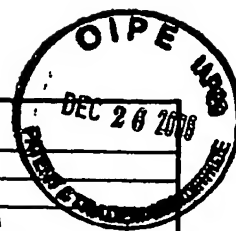


[COPY]



IDS Form PTO/SB/08: Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	10/789,953
				Filing Date	February 26, 2004
				First Named Inventor	NARASIMHAN, Mukundan
				Art Unit	2826
				Examiner Name	MANDALA, Victor A.
Sheet	1	of	2	Attorney Docket Number	9140.0030-00

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
Examiner Initials	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
VM		US-2002/0009630	01-24-2002	Gao et al.	
↓		US-2004/0245561 A1	12-09-2004	Sakashita et al.	
		US-5,110,696	05-05-1992	Shokoohi et al.	
↓		US-6,379,835 B1	04-30-2002	Kuchеровsky et al	
		US-6,444,104 B2	09-03-2002	Gopalraja et al	
VM		US-6,558,836	05-06-2003	Whitacre et al	

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation ⁶
VM		KR 2002-26187	04-06-2002	Hyundai Motor Co Ltd		
VM		WO 01/82297 A1	11-01-2001	Koninklijke Philips Electronics N.V.		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation ⁶
VM		SNOEKS, E. et al., "Cooperative upconversion in erbium-implanted soda-lime silicate glass optical waveguides," J. Opt. Soc. Am. B 12(8):1468-1474 (1995).	
↓		Final Office Action dated October 12, 2006, in U.S. Application No. 10,291,179 (Attorney Docket No. 9140.0001-00).	
		Notice of Allowance issued on October 8, 2002, in U.S. Patent No. 6,533,907 (Atty. Docket No. 09140-0004-00).	
		Office Action mailed September 6, 2006, in U.S. Appl. No. 10/101,863 (Atty. Docket No. 09140.0016-00).	
		Response to Office Action filed on August 9, 2006 in Application No. 10/954,182 (Atty. Docket No. 09140.0016-01).	
↓		Office Action dated October 31, 2006, in Application No. 10/954,182 (Atty. Docket No. 09140.0016-01).	
		Office Action dated October 12, 2006, for U.S. Application No. 11/228,805 (Attorney Docket No. 09140.0030-01000).	
VM		Office Action dated September 22, 2006 from Korean Patent Office in Appl. No. 10-2005-7016055 (Atty. Docket No. 09140.0030-00202)	

Examiner Signature	/Victor Mandala/	Date Considered	02/14/2007
--------------------	------------------	-----------------	------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXPRESS MAIL LABEL NO.
EV 901562293 US

IDS Form PTO/SB/08: Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	10/789,953
				Filing Date	February 26, 2004
				First Named Inventor	NARASIMHAN, Mukundan
				Art Unit	2826
				Examiner Name	MANDALA, Victor A.
Sheet	2	of	2	Attorney Docket Number	9140.0030-00

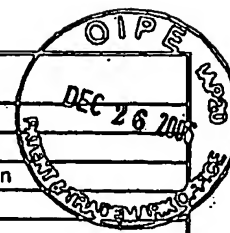
NON PATENT LITERATURE DOCUMENTS			
VM		Response to Office Action from Singapore Patent Office in Appl. No. 200505388-9, dated August 11, 2006 (Atty. Docket No. 9140.0030-00256).	
VM		Final Office Action dated October 26, 2006, in U.S. Application No. 10/851,542 (Atty. Docket No. 09140.0033-00).	
VM		Voluntary Amendment filed August 15, 2006 in TW Appl. No. 94143175 (Atty. Docket No. 09140.0042-00270).	
VM		PCT International Search Report and Written Opinion for Application No. PCT/US05/44781 dated October 3, 2006 (Attorney Docket No. 9140.0042-00304).	

Examiner Signature	/Victor Mandala/	Date Considered	02/14/2007
-----------------------	------------------	--------------------	------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXPRESS MAIL LABEL NO. EV 901562293 US

IDS Form PTO/SB/08: Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Application Number	10/789,953
		Filing Date	February 26, 2004
		First Named Inventor	NARASIMHAN, Mukundan
		Art Unit	2826
		Examiner Name	MANDALA, Victor A.
		Attorney Docket Number	9140.0030-00
Sheet	1	of	1



U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
Examiner Initials	Cite No. ¹	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
VM		US-5,814,195	09-29-1998	Lehan et al.	
VM		US 6,268,695	07-31-2001	Affinito	
VM		US 6,497,598	12-24-2002	Affinito	
VM		US 6,522,067	02-18-2003	Graff et al.	
VM		US 6,570,325	05-27-2003	Graff et al.	

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation ²
VM		Response to Final Office Action mailed November 3, 2006, in U.S. Application No. 10,291,179 (Attorney Docket No. 9140.0001-00).	
VM		Office Action dated December 1, 2006, in U.S. Application No. 10,291,179 (Attorney Docket No. 9140.0001-00).	
VM		Response to Office Action filed on December 6, 2006, in Application No. 10/954,182 (Attorney Docket No. 9140.0016-01).	
VM		Response to Office Action dated December 21, 2006, in U.S. Application No. 11/228,805 (Attorney Docket No. 9140.0030-01).	
VM		Response to Office Action mailed November 8, 2006, to the Korean Patent Office in Application No. 10-2005-7016055 (Attorney Docket No. 9140.0030-00202).	
VM		Preliminary Amendment filed July 21, 2006, in U.S. Application No. 11/297,057 (Attorney Docket No. 9140.0042-00).	
VM		Supplemental Preliminary Amendment, Substitute Specification with Markings, Substitute Specification without Markings, and Replacement Drawings dated December 6, 2006 in U.S. Application No. 11/297,057 (Attorney Docket No. 9140.0042-00).	
VM		Continuation Application and Preliminary Amendment as-filed (Attorney Docket No. 9140.0042-01).	

Examiner Signature	/Victor Mandala/	Date Considered	02/14/2007
--------------------	------------------	-----------------	------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXPRESS MAIL LABEL NO.
EV 746096242 US

IDS Form PTO/SB/08: Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)		Complete if Known	
Application Number		10/789,953	
Filing Date		February 26, 2004	
First Named Inventor		NARASIMHAN, Mukundan	
Art Unit		2826	
Examiner Name		MANDALA, Victor A.	
Attorney Docket Number		9140.0030-00	

U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS					
Examiner Initials	Cite No. ¹	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
VM		US-2002/0009630	01-24-2002	Gao et al.	
VM		US-2004/0245561 A1	12-09-2004	Sakashita et al.	
VM		US-5,110,696	05-05-1992	Shokoohi et al.	
VM		US-6,379,835 B1	04-30-2002	Kucherovsky et al	
VM		US-6,444,104 B2	09-03-2002	Gopalraja et al	
VM		US-6,558,836	05-06-2003	Whitacre et al	

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
VM		KR 2002-26187	04-06-2002	Hyundai Motor Co Ltd		
VM		WO 01/82297 A1	11-01-2001	Koninklijke Philips Electronics N.V.		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation ⁶
VM		SNOEKS, E. et al., "Cooperative upconversion in erbium-implanted soda-lime silicate glass optical waveguides," J. Opt. Soc. Am. B 12(8):1468-1474 (1995).	
VM		Final Office Action dated October 12, 2006, in U.S. Application No. 10,291,179 (Attorney Docket No. 9140.0001-00).	
VM		Notice of Allowance issued on October 8, 2002, in U.S. Patent No. 6,533,907 (Atty. Docket No. 09140-0004-00).	
VM		Office Action mailed September 6, 2006, in U.S. Appl. No. 10/101,863 (Atty. Docket No. 09140.0016-00).	
VM		Response to Office Action filed on August 9, 2006 in Application No. 10/954,182 (Atty. Docket No. 09140.0016-01).	
VM		Office Action dated October 31, 2006, in Application No. 10/954,182 (Atty. Docket No. 09140.0016-01).	
VM		Office Action dated October 12, 2006, for U.S. Application No. 11/228,805 (Attorney Docket No. 09140.0030-01000).	
VM		Office Action dated September 22, 2006 from Korean Patent Office in Appl. No. 10-2005-7016055 (Atty. Docket No. 09140.0030-00202)	

Examiner Signature	/Victor Mandala/	Date Considered	02/14/2007
--------------------	------------------	-----------------	------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXPRESS MAIL LABEL NO.
EV 901562293 US

Copied from 10/789953 on 12/28/2006

IDS Form PTO/SB/08: Substitute for form 1449A/PTO				Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	10/789,953
				Filing Date	February 26, 2004
				First Named Inventor	NARASIMHAN, Mukundan
				Art Unit	2826
				Examiner Name	MANDALA, Victor A.
Sheet	2	of	2	Attorney Docket Number	9140.0030-00

NON PATENT LITERATURE DOCUMENTS			
VM		Response to Office Action from Singapore Patent Office in Appl. No. 200505388-9, dated August 11, 2006 (Atty. Docket No. 9140.0030-00256).	
VM		Final Office Action dated October 26, 2006, in U.S. Application No. 10/851,542 (Atty. Docket No. 09140.0033-00).	
VM		Voluntary Amendment filed August 15, 2006 in TW Appl. No. 94143175 (Atty. Docket No. 09140.0042-00270).	
VM		PCT International Search Report and Written Opinion for Application No. PCT/US05/44781 dated October 3, 2006 (Attorney Docket No. 9140.0042-00304).	

Examiner Signature	/Victor Mandala/	Date Considered	02/14/2007
-----------------------	------------------	--------------------	------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

EXPRESS MAIL LABEL NO. EV 901562293 US

Copied from 10798953 on 12/28/2006